



KNOW YOUR CLIENT (KYC) APPLICATION FORM BSE, NSE, CDSL

PROFITMART SECURITIES PVT. LTD.

(formerly known as M3 Multiple Securities Brokers Pvt. Ltd)

Branch Name		Form No	
Branch Code		DP ID	
AP Name:		Client Code	
AP Code:		Client Name	

TRADING/DEMAT ACCOUNT OPENING FORM

INDIVIDUAL

Version

3.6 December 2018

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MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES		
Account Opening Form	A. KYC form - Document captures the basic information about the constituent and an instruction/check list.	1-4
Application for Demat Account	B. Document captures the additional information about the constituent relevant to trading account along with an instruction/check list. C. Details are required for adhering to "Know Your Client" for opening a Demat Account	8-11
Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, sub-broker/Authorized Person and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading). Rights and Obligations BO-DP	Separate Copy For Client
Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	
Guidance Note – DO's and DONT's	Document detailing do's and don'ts for trading on exchange, on client for trading on the stock exchange(s)	
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DISCLOSURE IN TERMS OF SEBI CIRCULAR NO. SEBI/MRD/SE/CIR-42/2003 DATED NOVEMBER 19, 2003

PROFITMART SECURITIES PVT. LTD. caters to Institutional, Corporate, High network and other retail clients through membership of various exchanges.

PROFITMART SECURITIES PVT. LTD. besides doing client based business also does its own investment and/or trading.

PROFITMART SECURITIES PVT. LTD.

"Proprietary Trading Disclosure noted"

Client Name : _____

Director/Authorised Signatory

Client Code : _____

Signature of Client :  (1/31)

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): - List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): - List of documents admissible as Proof of Address:

(*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy/Aadhaar Card.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook — Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and

Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. • Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities market. • Authorised signatories list with specimen signatures.
Partnership firm	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only). • Copy of Trust deed. List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees
HUF	<ul style="list-style-type: none"> • PAN of HUF. • Deed of declaration of HUF/ List of coparceners. • Bank pass-book/bank statement in the name of HUF. • Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	<ul style="list-style-type: none"> • Proof of Existence/Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorized signatories list with specimen signatures.
Banks/Institutional Investors	<ul style="list-style-type: none"> • Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> • Copy of SEBI registration certificate. • Authorized signatories list with specimen signatures.
Army/ Government Bodies	<ul style="list-style-type: none"> • Self-certification on letterhead. • Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Societies Registration Act. • List of Managing Committee members. • Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

1. Name of the Applicant (As appearing in supporting identification document)

2. a. Father's Name	
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[illegible]

2. c. Mother's Name

3. a. Gender ☐ Male ☐ Female b. Marital Status ☐ Single ☐ Married

c. Date of Birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 4. a. Nationality ☐ Indian ☐ Other _____

b. Status (please Tick✓): ☒ Resident Individual ☐ Non Resident ☐ Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)

5. a. Pan No.: | | | | | | | | | | Please enclose a duly attested copy of your PAN Card

b. Unique Identification Number (uid)/ Aadhaar, If Any:

6. Proof of Identity submitted for PAN exempt cases Please Tick ✓ (Please see guideline 'D' overleaf)

☐ UID (Aadhaar) ☐ Passport ☐ Voter ID ☐ Driving Licence ☐ Others _____

Please affix
the recent passport
size photograph and
sign across it

sign across

 (2/31)

1. Address for Correspondence Residence :

[illegible]

City/Town/Village

Pin Code	
----------	--

State

Country

[illegible][illegible][illegible]

3. Specify the proof of Address Submitted for Residence / Correspondence Address:

4. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant.

[illegible]

City/Town/Village

Pin Code

State


Country

5. Specify the proof of Address Submitted for Residence / Permanent Address:

SIGNATURE OF APPLICANT

Place: _____

Date: _____

 (3/31)

IPV Done ☐ on / /

☐ (Originals Verified) and Self Certified Document copies received

☐ (Atteste) True copies of documents received Main Intermediary

Staff Name

Employee Code _____

Name of the Organization _____

Name & Signature of the Authorized Signatory

Seal / Stamp intermediary

F. ADDITIONAL DETAILS

• Whether you wish to receive <input type="checkbox"/> physical contract note or <input type="checkbox"/> Electronic Contract Note (ECN) If ECN Specify your Email id _____	
• Whether you wish to avail of the facility of internet trading <input type="checkbox"/> Yes <input type="checkbox"/> No	
• Number of years of Investment/Trading Experience: _____	
• Declaration for Mobile Number	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Parent
• Any Other Information : _____	

G. INTRODUCER DETAILS (Optional)

Name of the Introducer	
Address of the Introducer	
Status of the Introducer: <input type="checkbox"/> Sub-broker <input type="checkbox"/> Remisier <input type="checkbox"/> Authorized Person <input type="checkbox"/> Existing Client	
<input type="checkbox"/> Employee <input type="checkbox"/> Others, please specify	
Phone No.	Signature of the Introducer:

I. Other Details - Information In Relation To The Prevention Of Money Laundering Act, 2002


1. Gross Annual Income Details (Please tick ✓) <input type="checkbox"/> Below1 Lac <input type="checkbox"/> 1-5 Lac <input type="checkbox"/> 5-10 Lac <input type="checkbox"/> 10-25 Lac <input type="checkbox"/> >25 Lacs	
Net-worth in Rs. _____ *Net worth should not be older than 1 year) as on (date) D D M M Y Y Y Y	
2. Occupation (Please tick ✓) any one and give brief details	
<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business
<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife
<input type="checkbox"/> Student	<input type="checkbox"/> Others _____
Please tick, if applicable: <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP):	
Any other information _____	
3. Experience Number of years of Investment / Trading Experience <input type="checkbox"/> <input type="checkbox"/>	
4. Purpose of Opening the Account <input type="checkbox"/> Investment <input type="checkbox"/> Trading <input type="checkbox"/> Arbitrage	

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Place _____

Date _____

 (14/31)

Signature of Client/ (all) Authorized Signatory (ies)

FOR OFFICE USE ONLY

UCC Code allocated to the client _____

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the employee			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Signature of the Authorised Signatory

Date _____

Seal/Stamp of the Stock Broker

VOLUNTARY**CONSENT LETTER FOR MARGIN TRADING FACILITY**

Dear Customer

We value your relationship with us.

Kindly note that The Securities Exchange Board of India (SEBI) has notified amended Margin Trading Facility (MTF) vide circular CIR/MRD/DP/54/2017 dated June 13, 2017. As per the said SEBI circular, the clients are required to accept Rights and Obligation of Stock Broker and client for MTF to avail the MTF.

Customers would be able to take position under MTF indefinitely subject to margin availability and other Terms as specified in Rights and obligation document

The Customer to take positions by providing prescribed margin and the balance amount will be funded by PROFITMART SECURITIES PVT. LTD. to meet the pay-in obligation of the Client. The Customer then needs to ensure that the available margin is always above the Minimum Margin specified STOCK EXCHANGES by to avoid squaring off the positions.

The customer agrees to pay Interest rate at 18% per annum or such rate as may be decided and communicated by PROFITMART SECURITIES PVT. LTD. from time to time on all outstanding payment beyond the due date.

You are hereby requested to accept Terms and Conditions, Rights and Obligation for Margin Trading and take note of the same. We request you to register me/us as your client for participating in BSE STAR MF.

Signature of Client: _____ (15/31)

A Refusal of orders for Penny Stock:

The broker reserves his right to accept orders for buy or sell of stocks that quote at a relatively low price or have low floating stock. He will not be held responsible for any loss of opportunity by the client

B. Setting up client's exposure limit:

The Client is aware and agrees that trading exposure limit provided to each client may not be of same multiple, and it is discretionary prerogative of the broker to allow distinct permissible exposure to each client. The broker may seek upto 100% margin before accepting any order

C. Brokerage rate:

Brokerage will be charged to the clients at rates not exceeding the limit prescribed by the Exchange and at the value which is mutually agreed between the Client and the broker

D Imposition of penalty / delayed payment charges by either party, specifying the rate and the period not resulting in funding by the broker in contravention of the applicable laws:

The Client has executed a 'Running Account authorisation' in favour of the broker with intent to have ready margin to meet his or her trading needs. No interest is payable by the broker on credit balances, if any. The broker is not into the business of funding client positions, delayed payment will be charge of 1½% per month.

E. The right to sell client's securities or clients' positions, without giving notice to the client, on account of non-payment of client's dues (this shall be limited to the extent of settlement / margin obligation):

In the event of non-payment of settlement obligations the broker reserves the right to liquidate securities or open positions of the client to the extent of clearing the debit balance standing in financial ledger or margin obligation.

F. Shortages in obligations arising out of internal netting of trades:

There could be instances when a client does not deliver shares against its sale position, and coincidentally another client is to receive shares of the same scrip. In such a scenario the position will be subject to the Brokers discretion :

- (i) The Settlement positions will be closed by the broker at valuation rate.
- (ii) The benefit calculated during the next days price after the payment day shall be recovered from the defaulting client & passed on to the respective beneficial client.

G Conditions under which a client may not be allowed to take further position or the broker may close the existing position of a client:

Under any of the circumstances, such as, client's failure to meet pay-in or margin obligations or clearance of outstanding/debit balance with broker before permissible time limit or beyond such period as may be allowed by broker as per its RMS policy, the Client may not be permitted to take any fresh or further position until the full clearance of earlier dues, obligation, outstanding etc. Even, broker can firstly set-off or adjust the payment or securities towards various dues and obligation of the client and until the full clearance of the same, shall not allow the client to take further / fresh position

Further, it would be the duty of the client to monitor his/her/its position with the Broker from time to time. In case of any delay or failure in meeting any obligation, margin requirements etc. from client side, broker might close the existing position or open position WITHOUT ANY FURTHER INTIMATION to the client as per RMS policy. Such Circumstances may include (but not limited to):

- (i) failure to meet pay-in obligation on T+1 day,
- (ii) delay in meeting the pay-in or margin requirement,
- (iii) delay or failure in clearance of outstanding or dues to the broker,
- (iv) returning or frequent returning of cheques of the client,
- (v) Unnecessary / Unwarranted dispute from client without any substantial cause / reason,
- (vi) Client's attitude of not coming to the amicable settlement for any dispute that can be settled without involvement of Exchange and / or SEBI.
- (vii) As per prevalent RMS policy of the Broker,
- (viii) Any direction from SEBI/Exchange or such other authorities,
- (ix) Under such other circumstances as the Broker might think just and proper on case to case basis

H Temporarily suspending or closing a client's account based at the client's request:

The Broker and/or client may suspend Client's Trading Account from further dealing in the securities market through the broker in following circumstances:

- (a) as per Client's prior written request of atleast 3 days submitted to Broker at its Mumbai H.O. duly acknowledged by Broker (subject to clearance of entire outstanding/obligations),
- (b) Dormant or in-active status of client beyond specified time limit as may be prescribed by Broker,
- (c) Under any circumstances mentioned in (i) to (ix) above.

I Deregistering a Client:

Notwithstanding anything to the contrary stated in the mandatory & voluntary client registration documents, the stock broker shall be entitled to terminate the mandatory & voluntary client registration documents, with immediate effect in any of:

- (i) Regulatory actions of the SEBI or any of its arms;
- (ii) Commencement of a legal process against the client under prevailing laws of land;
- (iii) Death or lunacy or disability resulting in inaction of the client.
- (iv) Breach of any term, condition or covenant of this mandatory & voluntary client registration documents
- (v) Detection of misrepresentation of facts by the client;
- (vi) The client having voluntarily or compulsorily become the subject of proceedings under any bankruptcy or insolvency law or being a company, goes into liquidation or has a receiver appointed in respect of its assets or refers itself to the Board for Industrial and Financial Reconstruction or under any other law providing protection as a relief undertaking;
- (vii) If any covenant or warranty of the Client is incorrect or untrue in any material respect;

Dormant / Inactive Client Account:

It is the broker's discretion to treat a client account as dormant / inactive if there is no activity in an account for more than six months from the last trade. In such cases, reactivation of his trading account the client has to submit recent proof as required at that time to comply with the current KYC norms.

I/We have fully understood the above and do hereby sign the same these policies and procedures may be amended unilaterally by the broker, provided the amendment is informed to me / us by an approved mode of communication. These policies and procedures shall always be read along with the mandatory & voluntary client registration documents, and shall be compulsorily referred to while deciding any dispute or claim between me / us and broker before any regulator or arbitrator or court of law.

Statutory & Other Charges:

Brokerage will be exclusive of Transaction Charges, Stamp Duty, Security Transaction Tax, GST, CM Charges, SEBI Turnover fees or any other tax/levy imposed by Exchange/SEBI/any other government agencies.

J. Digitally Signed contract Notes/ Confirmations

The member shall send the contract notes, trade confirmations, bills, account statement, notice, circular, ledger confirmation, margin statement, demat account holding statement and all other communication on the designated Email Id of the client who have opted to receive contract notes in electronic form.


K. Tape recording of conversation

Client is aware that member may tape record the conversation between the client and the member.

L. Display of policy and other information on website of Member/ Exchange/ SEBI

Client is aware that the policies/ procedures of the member and other information are regularly hosted on the website of the member and he shall ensure to keep himself updated with the same. Client also agrees to keep himself updated with the circulars hosted on the SEBI/ exchange website.

Client is also aware that Investor grievance email ID, Policy & Procedures, Copy of Standard KYC, Risk Management Policy, Literature of AML requirement, Links to website of SEBI and stock Exchanges of which Stock Broker is a member, other Information that a trading member is required to furnish to his clients is hosted on member's website as well as available on demand from member's office.

Signature of Client:  (17/31) _____

Place: _____

Date: _____

TARIFF SHEET


MANDATORY

Brokerage Structure		
Capital Market/Cash Segment of Any Exchange		Other Tariff Charges :
Trading Account	% of Rate	Minimum (Rs.)
Same Day Square off		
	One Side	Each Side
Delivery Based Trade		
Trade To Trade		
Currency Derivatives Segment of Any Exchange		
Trading Account	% of Rate	Minimum (Rs.)
Same Day Square off		
	One Side	Each Side
Future		
Options		
Futures & Options/Derivatives Segment of Any Exchange		
Trading Account	% of Rate	Minimum (Rs.)
Same Day Square off		
	One Side	Each Side
Future		
Options		
Statutory Charges & Levies to be charged at the preveilling rates as applicable from time to time		
Turnover/Transaction/Any other charges of Exchanges		GST
SEBI Turnover Based Fee		Stamp Duty
Securities Transaction Tax (STT)		Any Other Charges levied/introduced by Regulators/ Govt Authorities
Event Based Charges to be levied as per actuals		
Bank Charges like RTGS/NEFT/Cheque Return etc.		Stock Delivery /Demat Auto Payout/Intra Settlement/ Charges Rupees 17 per script
Penalties Charged by Exchanges / SEBI / Any Regulatory Authorities ONLY if it is due to Clients Defaults/ Errors / Violations		
Penalty for Cheque Return		Late Payment Charges

Interest @ 18% p.a will be charged on the outstanding bill / margin amount if not paid within the due date. The above tariff is subject to change.

Changes if any will be intimated 30 days in advance. Annual Maintenance Charge (AMC) is non refundable. GST, applicable on all above charges except stamp charges. Stock Delivery handling charges will be 17/- Per script and Instant Live SMS charges will be Rs 50/- per month. Clearing Member(CM) charges will be Rs .004% of Turnover in F&O Segment and .04% of premium in Option Segment. .001% charges will be applicable for Additional Product / features i.e. Cover order/ basket order. Mobile trading charges will 2/- Rs. per trade.

The stock broker shall not charge brokerage more than maximum brokerage permissible as per the rules, regulation and bye-laws of the relevant exchange and / or rules and regulation of SEBI

Place:								Signature of the Applicant (Pl. sign within the box only)	 (18/31)
Date:									

PROFITMART Securities Pvt. Ltd.**CDSL**

Correspondence Office: Off. No 328, Champak Lal Industrial Estate, Near MTNL Office,
Sion Circle, Sion, Mumbai - 400022


• Phone: +91-22-49741228 • Fax: +91-22-49741229 • URL: www.profitmart.in • Email: info@profitmart.in

ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT									
TO BE FILLED BY THE BY DEPOSITORY PARTICIPANT									
APPLICATION NUMBER:					DATE (DD/MM/YYYY)				
DP INTERNAL REFERENCE NUMBER:									
DP ID 1 2 0 8 3 6 0 0					BO ID				
TYPE OF ACCOUNT (PLEASE TICK WHICHEVER IS APPLICABLE)									
STATUS		SUB - STATUS							
<input type="checkbox"/> INDIVIDUAL		<input type="checkbox"/> INDIVIDUAL RESIDENT <input type="checkbox"/> INDIVIDUAL DIRECTOR'S RELATIVE <input type="checkbox"/> INDIVIDUAL PROMOTER <input type="checkbox"/> INDIVIDUAL MARGIN TRADING A/C (MANTRA)				<input type="checkbox"/> INDIVIDUAL DIRECTOR <input type="checkbox"/> INDIVIDUAL HUF / AOP <input type="checkbox"/> MINOR <input type="checkbox"/> OTHERS (SPECIFY) _____			
		<input type="checkbox"/> NRI		<input type="checkbox"/> NRI REPATRIABLE <input type="checkbox"/> NRI REPATRIABLE PROMOTER <input type="checkbox"/> NRI DEPOSITORY RECEIPTS				<input type="checkbox"/> NRI NON - REPATRIABLE <input type="checkbox"/> NRI NON - REPATRIABLE PROMOTER <input type="checkbox"/> OTHERS (SPECIFY) _____	
				<input type="checkbox"/> FOREIGN NATIONAL <input type="checkbox"/> FOREIGN NATIONAL DEPOSITORY RECEIPTS				<input type="checkbox"/> OTHERS (SPECIFY) _____	
				<input type="checkbox"/> FOREIGN NATIONAL		<input type="checkbox"/> FOREIGN NATIONAL DEPOSITORY RECEIPTS			
HOLDER DETAILS									
I/We Request You To Open A Demat Account In my/ our Name as per following details:									
SOLE/FIRST HOLDER'S NAME									
Sole / First Holder's Name									
PAN						UID			
Second Holder's Name									
PAN						UID			
Third Holder's Name									
PAN						UID			
*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc. although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.									
DETAILS OF GUARDIAN (IN CASE THE ACCOUNT HOLDER IS MINOR)									
GUARDIAN'S NAME:									
RELATIONSHIP WITH THE APPLICANT:					PAN NO. (Compulsory)				
I / We instruct the DP to receive each and every credit in my/our account. (If not marked, the default option would be 'Yes')									
<input type="checkbox"/> Yes <input type="checkbox"/> No									
I / We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end (If not marked, the default option would be 'No')									
<input type="checkbox"/> Yes <input type="checkbox"/> No									
<input type="checkbox"/> Account Statement Requirement <input type="checkbox"/> AS PER SEBI REGULATION <input type="checkbox"/> DAILY <input type="checkbox"/> WEEKLY <input type="checkbox"/> FORTNIGHTLY <input type="checkbox"/> MONTHLY									
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID									
<input type="checkbox"/> Yes <input type="checkbox"/> No _____									

NOMINATION DETAILS										(TRADING & DEMAT)	
DP ID 1 2 0 8 3 6 0 0					BO ID						
NOMINATION REGISTRATION NO.:					DATE (DD/MM/YYYY)						
<p>Dear Sir / Madam,</p> <p>I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:</p> <p><input type="checkbox"/> I I/We do not wish to nominate any one for this demat account.</p> <p>[Strike out what is not applicable.] [Signatures of all account holders should be obtained on this form].</p> <p><input type="checkbox"/> I/We nominate the following person/s who is entitled to receive security balances lying in my/our account, particulars where of are given below, in the event of the death of the Sole holder or the death of all the Joint Holders</p>											
Nomination Details		Nominee 1		Nominee 2		Nominee 3					
Nominee Name :											
*First Name:											
Middle Name:											
*Last Name											
*Address:											
*City											
State											
*Country											
*Pin											
Telephone No.											
Fax No.											
PAN No.											
UID											
Email ID											
*Relationship with the BO:											
Date of birth (mandatory if Nominee is a minor)dd-mm-yyyy											
Name of the Guardian of Nominee (if nominee is a minor)											
*First Name:											
Middle Name:											
*Last Name											
*Address of the Guardian of nominee:											
*City											
State											
*Country											
*Pin											
Age											
Telephone No.											
Fax No.											
Email ID											
*Relationship of the Guardian with the Nominee											
*Percentage of allocation of securities											
*Residual Securities [please tick any one nominee. If tick not marked default will be first nominee]:		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>					

Note : Residual securities: incase of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us. Place: _____ Date: _____

	First/Sole Holder	Second Holder	Third Holder
Name			
Signature	 (19/31)		


Note: One witnesses shall attest signature(s) / Thumb impression(s).

Names of Witness	Address of Witness	Signature of Witness

For Depository Participant

(Authorised Signatory

Declaration	Please strike of whichever is not applicable
I hereby declare that the mobile no./email id mentioned in the Account opening form/ request for change in mobile no./email id is my own	Yes / no


First/Sole Holder Signature	Second Holder Signature	Third Holder Signature
 (20/31)		

[In case the option 'No' has been selected above, the request letter appended below is required to be submitted]

REQUEST LETTER FOR REGISTRATION OF MOBILE NO./EMAIL OF PERSON BELONGING TO THE CLIENT'S FAMILY

Please note that the mobile no./Email Address /both mentioned in the Account opening form/ request for change in mobile no./Email belongs to Mr/Mrs./Ms. _____ who is my _____
(relationship with the client)

[*Refer to the instructions mentioned below]


First/Sole Holder Signature	Second Holder Signature	Third Holder Signature
 (21/31)		

* [Only the mobile no./email of your spouse, dependent children and dependent parents can be registered in your demat account]

Confirmation of Receipt of executed documents

With reference to my/our registration as Client with you, I/We hereby confirm that I/We have received copies of the Know Your Client Form (KYC), Rights & Obligations, Risk Disclosure Documents (RDD), Power of Attorney (POA) (if any), & all other executed documents.

I understand that the Voluntary documents executed by me are out of my own free will. I/ hereby confirm that I have clearly understood and agree to abide by the Terms and Conditions described by Profitmart Securities Pvt. Ltd. I also understand that these Terms and Conditions can be changed by Profitmart Securities Pvt. Ltd from time to time with prior notice of 10 days and subject to posting of the amendments and modifications therein on it's website and their applicability with prospective effect.

Client Signature:  (22/31) _____

PROFITMART SECURITIES PVT. LTD.

Off. No 328, Champak Lal Industrial Estate, Near MTNL Office, Sion Circle, Sion, Mumbai - 400022

Phone: +91-22-49741228 • Fax: +91-22-49741229.

• URL: www.profitmart.in • Email: info@profitmart.in • CIN No: U65990MH2011PTC217211

SCHEDULE A - TARIFF STRUCTURE (DP ID 12083600)

I/We agree to pay the charges as per following charge structure for our Demat account with Profitmart Securities Pvt. Ltd.

BO ID

--	--	--	--	--	--	--	--

DATE (DD/MM/YYYY)

--	--	--	--	--	--	--	--


DP ID

1	2	0	8	3	6	0	0
---	---	---	---	---	---	---	---

CHARGE HEAD	CHARGES
Annual Maintenance Charges	– Rs. 999 Life Time
Transaction charges Market / Of Market / Interdepository Transactions	– Rs. 20/- per scrip
Pledge Charges Creation / Closure and Confirmation of both	– 0.02% of the transaction charges subject to a minimum of Rs. 50/- plus NSDL / CDSL charges at actual.
Pledge Charges for Invocation	– 0.05% of the transaction charges subject to a minimum of Rs. 50/-
Dematerialization Charges	– Rs 10.00 per certificate plus courier charges of Rs. 35/- per demat request for every 500 gms.
Rematerialization Charges	– Rs. 25/- per certificate or 0.05% of the value whichever is higher.
SLB Charges	– For Securities Borrowings: Rs. 25/- plus NSDL / CDSL charges at actuals. – For Securities Lending: Rs. 25/- plus NSDL / CDSL charges at actuals.
Repurchase / Redemption Charges	– Flat Rs. 25/- per transaction plus Depository charges at actuals.
Failed / Rejected Transactions	– Rs. 50/- per transaction.
Demat Rejection Charges	– Rs. 35/- per rejection for every 500 gms.
Statement Requests	– Adhoc statement requests: - Rs. 25/-
E-Mail Statement	– Periodic Bills and Transactions statements and other communications will be sent by email only. Terms and Conditions for the same to be mandatorily executed. Requests for physical statements will be charged as mentioned above.
Cheque Bounce charges	– Charges will be applied based on charges as determined by our bankers.

NOTE: For all purposes the bill date shall be construed as the date of demand and the bills will be considered as the bill cum notice for payment and Profitmart Securities Pvt. Ltd. reserves the right to Freeze Depository account for debit transaction in case of non payment of charges after two days from the bill date.

Interest @ 13% p.a will be charged on the outstanding bill amount if not paid within the due date. The above tariff is subject to change. Changes if any be intimated 30 days in advance. Annual Maintenance Charge (AMC) is non refundable. GST, Education Cess and Higher Education Cess applicable on all above charges except stamp charges

First/Sole Holder Signature	Second Holder Signature	Third Holder Signature
 (23/31)		

OPTION FORM FOR ISSUE OF DIS BOOKLET

(OPTIONAL)

DP ID | 1 | 2 | 0 | 8 | 3 | 6 | 0 | 0 |

BO ID | | | | | | | | |

TO: PROFITMART SECURITIES PVT. LTD.

Off. No 328, Champak Lal Industrial Estate,
Near MTNL Office, Sion Circle,
Sion, Mumbai - 400022

Dear Sir,

I/We hereby state that: (select one of the option given below)

OPTION 1

I/We require you to issue Delivery Instruction Slip (DIS) booklet to me/us immediately on opening my/our CDSL account though I/We have issued a Power of Attorney (POA) in favor of Profitmart Securities Pvt. Ltd. for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Clearing Member.


OR

OPTION 2

I/We do not require Delivery Instruction Slip (DIS) for time being. Since I/We have issued a POA in favor of Profitmart Securities Pvt. Ltd. for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Clearing Member. However, the Delivery Instruction Slip (DIS) booklet should be issued to me/us immediately on my/our request at any later date.

I/We hereby select:

☐ OPTION 1 ☐ OPTION 2

First/Sole Holder Signature	Second Holder Signature	Third Holder Signature
 (24/31)		

DATE (DD/MM/YYYY) | | | | | | | |

VOLUNTARY

To, **PROFITMART SECURITIES PVT. LTD.**

Off. No 328, Champak Lal Industrial Estate,
Near MTNL Office, Sion Circle,
Sion, Mumbai - 400022

Dear Sir,

Sub: Authorisation to debit trading account for the demat account charges

Ref: DP ID: | 1 | 2 | 0 | 8 | 3 | 6 | 0 | 0 |


BO ID | | | | | | | |

I/We have opened/have a beneficiary account with your CDSL depository along with a trading account for investment and trading purposes. It would be difficult for me/us to keep issuing separate cheques against your depository bills.

I/We, therefore authorize you to debit the charges payable towards operation of the above account. I/We are aware of the charges for operating the said account. Any such sum debited to my trading account shall be binding on me/us.

Thanking you,

Yours truly,

First/Sole Holder Signature	Second Joint Holder Signature	Third Joint Holder Signature
 (25/31)		

SMS AND E-MAIL ALERTS TO INVESTORS BY STOCK EXCHANGES

(Ref: SEBI circular No. CIR/MIRSD/15/2011 dated August 02, 2011)

VOLUNTARY

I request you to enroll me for the following facility offered by the Stock Exchanges pursuant to above referred SEBI circular

SMS Facility on Mobile No provided in my KYC

1. Mobile No Belongs to ☐ Self ☐ Family Member
2. In case of family member please specify the relationship:
☐ Dependent Child ☐ Dependent Parent ☐ Spouse
3. PAN No. of the family Member whose Mobile No is provided above:

Email Facility on email Id provided in my KYC

1. Email ID Belongs to ☐ Self ☐ Family Member
2. In case of family member please specify the relationship:
☐ Dependent Child ☐ Dependent Parent ☐ Spouse
3. PAN No. of the family Member whose Email ID is provided above:

Note:

1. Individual Client is invariably required to provide his own Mobile No. except in case of family members where the following relationship exist Dependent Child OR Dependent Parent OR Spouse
2. Non Individual client shall provide the Mobile/ Email details of the person authorized to receive such communication and as provided in the KYC.
3. Client may please note that Mobile No. & Email ID of Trading Member (TM)/ employees of TM/ Sub broker/authorised person are not permitted for receiving the SMS/ Email communication from exchanges
4. Client may note that the circular is available for download on the exchange's website.

VERBAL ORDER ACCEPTANCE AUTHORISATION**VOLUNTARY**

TO,

PROFITMART SECURITIES PRIVATE LIMITED.

(Formerly known as M3 Multiple Securities Brokers Pvt. Ltd.)

Off. No 328, Champak Lal Industrial Estate, Near MTNL Office,

Sion Circle, Sion, Mumbai - 400022

I/We have been/shall have be dealing through you as my/our broker on the Capital Market and /or Future & Options Segments/Currency Derivative Segments. This instruction is applicable for all the segments of NSE in which I/We have opted to open the account with you. As my/our broker i.e. agent I/We direct and authorize you to carry out trading/dealings on my/our behalf as per instructions given below.

As I/We shall be dealing by ordering over phone and even if we visit the branch, the fluctuations in market are so rapid that it is not practical to give written instructions for order placement/modification and cancellation, I/We hereby authorize you to accept my/my authorised representative's verbal instructions for order placement/modification and cancellation in person or over phone (fixed line or mobile phone) and executed the same. I/We understand the risk associated with verbal orders and accept the same, and agree that I/We shall not be entitled to disown orders and consequent trades (if any) under the plea that same were not under mine/our instructions. I/We agree that I/We will not have the right to shift the burden of proof by asking you to prove the placement of orders through telephone recording or otherwise.

I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my/our directions given above.

Thanking you,

Yours truly.

Signature of Client:  (26/31)

AUTHORITY LETTER FOR RUNNING ACCOUNT OF FUNDS AND SECURITIES

To,
PROFITMART SECURITIES PRIVATE LIMITED.
 (Formerly known as M3 Multiple Securities Brokers Pvt. Ltd.)
 Off. No 328, Champak Lal Industrial Estate, Near MTNL Office,
 Sion Circle, Sion, Mumbai - 400022

Dear Sir,

1. With reference to my/our trading account opened with you, I/We request you to maintain a running account for funds and securities on my/our behalf without settling the account on settlement of each transaction. I/We further request you to retain all amounts and securities receivable by me/us until specifically requested by me/us to be settled within one working day of request, if the same is lying with PROFITMART SECURITIES PRIVATE LIMITED & within 3 working days from the request if the same is lying with clearing member / clearing corporation.
2. I/We understand and agree that no interest will be payable to me/us on the funds or securities so retained with you.
3. Excess margins deposited towards one exchange / segment may be adjusted, on a running basis towards margin requirement / debit balance in same / other segment under PROFITMART SECURITIES PRIVATE LIMITED.
4. I/We also confirm that the securities lying in my withhold A/c should be considered as margin deposit/collateral.
5. I/We authorize you to set off a part or whole of the margin deposited by me/us against any of my/our dues, by appropriating relevant amount of fund or by sale of securities which form part of margin.
6. I/We hereby authorize you to pledge my/our securities deposited as margin or withheld by you as permitted in the running account and to deposit my/our funds deposited as margin to Exchanges / Clearing Corporations towards margin.
7. I/We authorize PROFITMART SECURITIES PRIVATE LIMITED to hold shares purchased me/us in their beneficiary / pool account as collateral / margin against my/our future trades / obligations in same / other exchange / segment. Under PROFITMART SECURITIES PRIVATE LIMITED.
8. I/We may revoke the authorization at any time by giving a written notice.
9. I/We also agree that the actual settlement of fund and securities shall be done by us, at least once in a calendar quarter or month and the statement of account for the same will be provided to me by PROFITMART SECURITIES PRIVATE LIMITED.
10. I/We agree that (a) in respect of derivatives market transactions, apart from margin liability as on the date of settlement, trading member may retain additional margins (maximum up to 125% of margin requirement on the day of settlement) to take care of any margin obligation arising in next 5 days, (b) in respect of cash market transactions, Trading Member may retain entire pay-in obligation of funds & securities due from clients as on the date of settlement.
11. I/We agree/understand that there shall be no inter-client adjustment for the purpose of settlement of the running account.
12. I/We shall bring any dispute arising from the statement of account or settlement so made to the notice of the Trading Member preferably within 7 working days from the date of receipt of funds/securities or statement as the case may be.
13. Account with credit balances of Rs. 10000/- or lesser shall be retained as per SEBI circular MIRSD/SE/Cir-19/2009 & Exchange Circular Nos. NSE/INSP/24849 & 20091204-7
14. Position will be Auto square off as per RMS Rules (RMS Policy kept on website www.profitmart.in)

Thanking you,
 Yours truly.

PREFERENCE OF CLIENT FOR SETTLEMENT OF RUNNING ACCOUNT (FUNDS & SECURITIES)

Settlement Preferences

☐ Monthly

☐ Quarterly

Signature of Client:  (27/31)

LETTER OF AUTHORITY

VOLUNTARY

TO,
PROFITMART SECURITIES PRIVATE LIMITED.
(Formerly known as M3 Multiple Securities Brokers Pvt. Ltd.)
Off. No 328, Chompak Lal Industrial Estate, Near MTNL Office,
Sion Circle, Sion, Mumbai - 400022

Dear Sir / Madam,

Sub: Letter of Authority - CASH / DERIVATIVES

1. I/We hereby authorise PROFITMART SECURITIES PRIVATE LIMITED not to provide me Order Confirmation / Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We hereby request PROFITMART SECURITIES PRIVATE LIMITED to kindly accept my/our mandate holder's verbal orders/instructions in person or over phone and execute the same. I/We shall get the required details from the contract issued by you. I/We understand the risk associated with placement of verbal orders and accept the same. I/We shall not disown orders under the plea that the same was not placed by me.
2. I/We indemnify PROFITMART SECURITIES PRIVATE LIMITED and keep you indemnified against all losses, damages, actions which you may suffer or face, as a consequence of adhering to and carrying out my/our orders verbally. In case I wish to withdraw this consent I shall inform PROFITMART SECURITIES PRIVATE LIMITED in writing and get the same acknowledged by PROFITMART SECURITIES PRIVATE LIMITED at least one week in advance from the date of withdrawal.
3. I/We hereby authorise PROFITMART SECURITIES PRIVATE LIMITED to deposit securities received from me/us or purchased through PROFITMART SECURITIES PRIVATE LIMITED lying in my/our account to the Stock Exchange(s)/NSCCL or their custodian appointed by them for the purpose of margin/ other obligation and/or to facilitate my/our transaction in the normal course of securities business. Further, PROFITMART SECURITIES PRIVATE LIMITED shall release the securities to me/us on my/our request if the same is releasable to me/us.
4. Trading of all Exchanges is in Electronic Mode, based on VSAT, Leased line, ISDN, Modem and VPN, combination of technologies and computer systems to place and route orders. We understand that here exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt, of any such other problem/glitch whereby not being able to establish access to the trading system/network, which may be beyond your control and may result in delay in processing of buy or sell orders either in part or in full. I/We shall be fully liable and responsible for any such problems/fault.
5. I/We agree not to hold PROFITMART SECURITIES PRIVATE LIMITED liable or responsible for delay or default in performance of your obligations due to contingencies beyond your control such as fire, flood, civil commotion, earthquake, riots, war strikes, failure of systems, failure of internal links, government/regulatory actions or any other contingencies beyond your control member not laibale.
6. I/We hereby confirm that I/We never sublet the trading terminal on any term of connectivity, from my/our place to any other place without your prior approval.
7. I/We am/are agreeable for inter-settlement transfer of securities towards settlements.
8. I/We am/are agreeable for & authorise PROFITMART SECURITIES PRIVATE LIMITED to with hold funds payout towards all applicable margins and debits.
9. All fines/penalties and charges levied upon PROFITMART SECURITIES PRIVATE LIMITED due to my acts/deeds or transactions may be recovered by PROFITMART SECURITIES PRIVATE LIMITED from my account.
10. Any queries related to security delivered by PROFITMART SECURITIES PRIVATE LIMITED, would be brought to the notice of PROFITMART SECURITIES PRIVATE LIMITED within Preferably two days of the receipt of such securities in my/our Demat Account.
11. Any queries related to my/our Contract cum Bill, shall be brought to the notice of the PROFITMART SECURITIES PRIVATE LIMITED within Preferably 24 hours of the receipt of Contract cum Bill.
12. PROFITMART SECURITIES PRIVATE LIMITED is hereby advised to keep these instructions in force unless specifically informed by me/us in writing.
13. I/We hereby reconfirm and accept all the terms & conditions mentioned hereinabove.

Thanking you,
Yours truly.

Signature of Client:  (28/31)

POWER OF ATTORNEY

TO ALL TO WHOM THESE PRESENTS SHALL COME I/WE Mr./M/s. (First holder)_____ (Second holder)_____ (Third holder)_____ an Residing at having my / our registered office at _____

Whereas I/we hold a Beneficiary account no. _____ (BO-ID) with Central Depository Services (India) Limited (CDSL), with Profitmart Securities Pvt. Ltd. a Depository Participant registered with CDSL) DP-ID 12083600

And Whereas I/we are registered as a client Profitmart Securities Pvt. Ltd trading member of Bombay Stock Exchange Ltd. (BSE) and National Stock Exchange of India Ltd.(NSE), for dealing in the securities market bearing SEBI registration no. INZ000093633, having its registered office at Off. No 328, Champak Lal Industrial Estate, Near MTNL Office, Sion Circle, Sion, Mumbai - 400022.

Now know we all and these presents witness that I / We the above name do hereby nominates, constitute / and appoint Profitmart Securities Pvt. Ltd. as my/our true and lawful attorney (hereinafter referred to as the Attorney) for me/us and on my/our behalf and in my/our name to do the following:

WHEREAS:

1. To transfer securities held in my/ our aforementioned beneficial owner account(s) (or any other account informed by me in writing to the stock broker from time to time) to the demat accounts (details of which have been annexed hereto) of the stock broker maintained for the purpose of settlement of trades and margin obligations arising out of trades executed by me /us or any recognized stock exchange through the stock broker. However, the said power by any existing or future exchange that the stock broker has joined/will join as a member or to any demat account linked to the said clearing member id.
2. A) for meeting my / our settlement obligation / margin requirements in connection with the trades executed by me /us the clients on the stock exchange through the stock broker
b) for meeting obligation arising out of my subscribing to such other product / facilities / services through the stock broker like Mutual Funds, Public Issues (shares as well as debentures), right, offer of share ion etc.
3. to pledge the securities in favor of the stock broker for the limited purpose of meeting my/our margin requirements in connection with the trades executed by me / us on any recognized stock exchange through the stock broker.
4. to send consolidated summary of my/our scrip-wise buy and sell position taken with average rate to me/us by way of SMS/email on a daily basis, notwithstanding any other document to be disseminated as specified by SEBI from time to time.
5. to apply for various product like Mutual Funds, public issue (share as well as debentures), rights, offer of share, tendering shares in open offer etc. pursuant to oral /written/electronic instruction given by me/us to the stock broker.
6. The stock broker will return any securities or fund that may be have been received by it erroneously or those securities or fund that it was not entitled to receive.

Third Joint Holder Signature

Second Joint Holder Signature

First/Sole Holder Signature

(29/31)

I/We further agree and confirm that the powers and authorities conferred by this Power of Attorney (POA) shall continue until it is revoked in writing by me and that the said revocation shall be effective from the date on which the revocation notice is received by the stock broker.

IN WITNESS THEREOF I/WE, individual / joint account holders hereunto have set and subscribe my/our respective hand(s) hereto at _____ on this _____ day of _____ 20_____

SCHEDULE – II

PARTICULARS	DP ID	CLIENT ID
CDSL BENE A/C	12083600	00000016
CDSL CLIENT MARGIN A/C	12083600	00000020
CDSL NSE POOL A/C	12083600	00006284
CDSL BSE Principal A/C	12083600	00039261
NSDL NSE POOL A/C	IN 300095	12091499
NSDL BSE Principal A/C	IN 300095	12139078
CDSL BSE POOL A/C	12083600	00044529
CDSL EARLY PAYIN A/C	11000011	00020210

Witness 1
NAME:
ADDRESS:
SIGNATURE:

Witness 2
NAME:
ADDRESS:
SIGNATURE:

Third Joint Holder Signature	
Second Joint Holder Signature	
First/Sole Holder Signature	<div>  (30/31) </div>


FATCA / CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL

	First/Sole Holder	Second Holder (if any)	Third Holder (if any)
Client Code:		NA	NA
Demat Account No.			
1. Are you U.S. person	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
2. Specify country of tax residency (If resident of more than one country, please specify all)			
3. Specify country of citizenship (If citizen of more than one country, please specify all)			
If ticked on "Yes" in point no.1 and "specifies countries other than India" in point no.2 and 3 above, please provide below details:-			
4. Provide Tax Identification Number			
5. Specify country of birth			
6. Specify city of birth and pin code			
7. Source of Wealth	<input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Rental Income <input type="checkbox"/> Prize money <input type="checkbox"/> Royalty <input type="checkbox"/> Other (Please specify) _____	<input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Rental Income <input type="checkbox"/> Prize money <input type="checkbox"/> Royalty <input type="checkbox"/> Other (Please specify) _____	<input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Rental Income <input type="checkbox"/> Prize money <input type="checkbox"/> Royalty <input type="checkbox"/> Other (Please specify) _____

DECLARATION

I / We hereby declare, agree and confirm the following:-

- a) The details furnished above are true to the best of my knowledge and belief and shall undertake to inform Profitmart Securities Private Limited. within 30 days, in case of any change in the above given status on a future date;
- b) If I / we am/are U.S. person or tax resident of a reportable foreign jurisdiction (other than U.S.), my account details, would be reported by Profitmart Securities Private Limited to the relevant tax authority, or information may be shared with concerned Asset Management Companies (AMCs) or such other product providers, to whom FATCA/ CRS norms are applicable or to any of the Government Agencies / Tax authorities / Regulators / Exchanges / Depositories of India or of any country other than India;
- c) If my / our Country of Birth is US, however, I / We declare that I / We are not US Person, I / We shall provide a certificate of relinquishment of citizenship (Loss of nationality) OR a self certification stating reasons for not having such a certificate despite relinquishing US citizenship OR not obtaining US citizenship at birth.

First/Sole Holder Signature	Second Joint Holder Signature	Third Joint Holder Signature
 (31/31)		



PROFITMART SECURITIES PVT. LTD.

(formerly known as M3 Multiple Securities Brokers Pvt. Ltd)

Membership No. : NSE - 14556
 Membership No. : BSE - 6676
 SEBI Regn. No. : INZ000093633
 Membership No. : CDSL - 12083600
 SEBI Regn. No. : CDSL - IN-DP-295-2016

Name of stock broker/ trading member / clearing member	PROFITMART SECURITIES PVT. LTD.		
SEBI Registration No. and date	For NSE / BSE For CDSL DP	INZ000093633 & Dated : 21.10.2016 IN-DP-295-2016 & Dated : 17.10.2016	
Corporate office / Register office	Office No 328, Champak Lal Industrial Estate, Near MTNL Office, Sion Circle, Sion, Mumbai - 400022 • Phone: +91-22-49741228 • Fax: +91-22-49741229. • URL: www.profitmart.in • Email: info@profitmart.in • CIN No: U65990MH2011PTC217211		
DP Compliance officer Name: Phone No: & E-mail Id:	Gaurav Kothari 022 - 62360931 • compliance@profitmart.in		
Compliance officer Name: Phone No: & E-mail Id:	Nayan Bhandari 022 - 62360931 • compliance@profitmart.in		
Director's Name Phone No: & E-mail Id:	Niranjan Mahanjan 022 - 62360931 • director@profitmart.in		
Clearing Member NSE Currency IL&FS SECURITIES SERVICES LTD. INE231308334	NSE F&O AXIS BANK LTD. INF231133937	BSE Currency AXIS BANK LTD. INE011357436	BSE F&O AXIS BANK LTD. INF011295138
For any grievance/dispute please contact PROFITMART SECURITIES PVT. LTD. at the above address or Email: grievance@profitmart.in and Phone No. : +91 - 022 - 62360931. In case not satisfied with the response, please contact the concerned exchange(s) at on NSE Tel. : +91 - 022 - 2659 8191 • Email ID : ignse@nse.co.in , CDSL Tel. : +91 - 022 - 22728642 • Email ID : helpdesk@cdslindia.com , BSE is@bseindia.com Tel. : +91 - 022 +91-22-2272-1234/33			